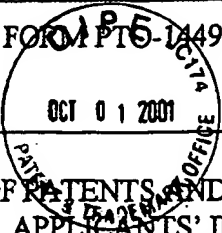


FORM <b>PTO-1449</b> (Colb) 	ATTY DOCKET NO. LOY=2	SERIAL NUMBER 09/887,533
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION STATEMENT	APPLICANT Irit LOY et al.	
	FILING DATE June 25, 2001	GROUP ART UNIT N/A

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Examiner's Initials		DOCUMENT NO.	DATE	NAME	CLASS	SUB	FILING DATE
PG	AA	6,023,710	Feb. 2000	Steiner et al.			
PG	AB	6,021,508	Feb. 2000	Schmuck et al.			
PG	AC	6,023,706	Feb. 2000	Schmuck et al.			
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PG	AJ	A. Miroshnichenko, "Data Management API: The Standard and Implementation Experiences", Veritas Software Corp., Mountain View, California, 1996, 12 pages.
PG	AK	"DMEpi: Data Management API for DFS/Episode", Transarc Corp., Pittsburgh, PA, December 1996, 16 pages.
PG	AL	R. Agarwalla et al., "HPSS/DFS: Integration of a Distributed File System with a Mass Storage System", NASA Goddard Conference on Mass Storage Systems and Technologies, IEEE Symposium on Mass Storage Systems, March 23-26, 1998, 15 pages.
PG	AM	"HPSS Release 4.1 New Features", 2000, 5 pages. ( <a href="http://www.sdsc.edu/hpss/ReleaseInfo/release_4.1.html">http://www.sdsc.edu/hpss/ReleaseInfo/release_4.1.html</a> ).
PG	AN	P. Lawthers, "The Data Management Applications Programming Interface", published in the <i>Proceedings of the Fourteenth IEEE Symposium on Mass Storage Systems, Second Int'l Symposium, entitled "Storage - At the Forefront of Information Infrastructures"</i> , Monterey, California, Sept. 11-14, 1995, pp. 327-335.
PG	AO	Tivoli® Storage Manager (Web Page), "Tivoli Storage Manager - IBM AIX Client", June 23, 2000, one page.
EXAMINER: <i>Phil Duff</i>		DATE CONSIDERED: 4/19/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

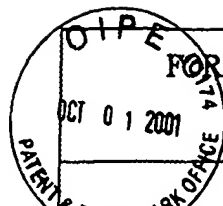


Page 2 of 3

FORM PTO-1449 (Colb)	ATTY DOCKET NO. LOY=2	SERIAL NUMBER 09/887,533
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION STATEMENT	APPLICANT Irit LOY et al.	
	FILING DATE June 25, 2001	GROUP ART UNIT N/A

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PG	AP	"NetWorker HSM - Advanced Data Management", Legato® Systems, Inc., 2000, three pages. (wysiwyg://96/http://portal1.legato.com/products/protection/networker/hsm/)
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PG ✓	AX	"Tivoli® Space Manger for UNIX Using the Hierarchical Storage Management Clients", Version 3, Release 7, IBM Corp., April 2000, 230 pages.
PG ✓	AY	"IBM General Parallel File System for AIX: Data Management API Guide", IBM Corp., July 2000, 56 pages.
EXAMINER: Philip Guff		DATE CONSIDERED: 4/19/05
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	FILING DATE June 25, 2001	GROUP ART UNIT N/A

## OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

PG	/ AZ	"IBM General Parallel File System for AIX: Concepts, Planning, and Installation Guide", IBM Corp., December 2000, 2 <sup>nd</sup> Edition, 106 pages.
PG	/ BA	"X/Open CAE Specification: Systems Management: Data Storage Management (XDSM) API Sanity-Check Draft", X/Open Co. Ltd., United Kingdom, July 1996, 178 pages.
PG	/ BB	"High Availability Cluster Multiprocessing for AIX Version 4.3", IBM Corp., 2000, 5 pages. ( <a href="http://www.rs6000.ibm.com/software/Apps/hacmp/">wysiwyg://17/http://www.rs6000.ibm.com/software/Apps/hacmp/</a> )
PG	/ BC	R.L. Haskin, "Tiger Shark - A Scalable File System for Multimedia", <i>IBM Journal of Research &amp; Development</i> , Vol. 42, No. 2 - Multimedia Systems, IBM Corp., 1998, 16 pages. ( <a href="http://www.research.ibm.com/journal/rd/422/haskin.html">http://www.research.ibm.com/journal/rd/422/haskin.html</a> )
PG	/ BD	"UniTree Announces High Performance XDSM (DMAPI) File System Product", UniTree Software, Inc., May 17, 1999, one page.
PG	/ BE	"CAE Specification: Data Storage Management - A Systems Approach", The Open Group, February 1997, table of contents (3 pages). ( <a href="http://www.opengroup.org">www.opengroup.org</a> )
PG	/ BF	R. Gooch, "Overview of the Virtual File System", July 5, 1999, 8 pages. ( <a href="http://www.atnf.csiro.au/~rgooch/linux/vfs.txt">http://www.atnf.csiro.au/~rgooch/linux/vfs.txt</a> )
PG	/ BG	NOVELL: Netware 5.1: Detailed View, 2000, 8 pages. ( <a href="http://www.novell.com/products/netware/details.html">http://www.novell.com/products/netware/details.html</a> )
PG	/ BH	"Sun's Network File System (NFS)", 2001, 5 pages. ( <a href="http://www.cs.tamu.edu/faculty/bettati/Courses/662/Spring-2001/Slides/HTTP/DFS/sl">http://www.cs.tamu.edu/faculty/bettati/Courses/662/Spring-2001/Slides/HTTP/DFS/sl</a> )
PG	/ BI	"Microsoft Distributed File System" [Microsoft Windows NT Server 4.0; Distributed Fi..], last updated September 15, 2000, 9 pages. ( <a href="http://www.microsoft.com/NTServer/nts/downloads/winfeatures/NTSDistrFile/Admin..">http://www.microsoft.com/NTServer/nts/downloads/winfeatures/NTSDistrFile/Admin..</a> )

EXAMINER: *Phyllis Day*

DATE CONSIDERED: 4/19/05

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Substitute for form 1449A/P <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/887,533
				Filing Date	June 25, 2001
				First Named Inventor	Irit LOY, et al.
				Group Art Unit	2171
				Confirmation Number	5801
Sheet 1 of 1				Attorney Docket Number	LOY=2

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Examiner Signature	<i>Philip Boyd</i>	Date Considered	<i>4/19/05</i>
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

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## Complete if Known

Application Number	09/887,533
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Filing Date	June 25, 2001
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First Named Inventor	Init LOY et al
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Group Art Unit	2171
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Examiner Name	E. Leroux
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Attorney Docket Number	LOY=2
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Philip Dwyer

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4/19/05

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/887,533
				Filing Date	June 25, 2001
				First Named Inventor	Irit LOY et al
				Group Art Unit	2171
				Examiner Name	
				Attorney Docket Number	LOY=2
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PG	BY	US-5,023,873	08-11-1991	STEVENSON et al.	
PG	BZ	US-5,517,622	05-14-1996	IVANOFF et al.	
PG	CA	US-5,592,664	01-07-1997	STARKEY	
PG	CB	US-6,076,108	06-13-2000	COURTS et al.	
PG	CC	US-6,519,643 B1	02-11-2003	FOULKES et al.	
PG	CD	US-6,535,923 B1	03-18-2003	GIROIR	
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Examiner Signature	<i>Philip Bump</i>	Date Considered	4/19/05
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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	09/887,533
		Filing Date	June 25, 2001
		First Named Inventor	Irit LOY et al.
		Group Art Unit	2171
		Examiner Name	
(use as many sheets as necessary)		Attorney Docket Number	LOY=2
Sheet	2	of	2

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PG	CE	Sun Cluster 2.2 Software Installation Guide; Sun Microsystems, Inc.; Part Number 806-1008; April 1, 1999; Palo Alto, CA; pp. i - xvii	

Examiner Signature	<i>Philip Dwyer</i>	Date Considered	4/19/05
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

Application Number	09/887,533
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**09/887,533**

Filing Date	June 25, 2001
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**June 25, 2001**

First Named Inventor	Int LOY et al.
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Group Art Unit	2171
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Confirmation Number	5801
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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

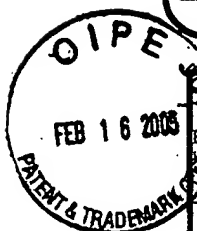
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Sheet 1

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First Named Inventor	Irit LOY et al
Group Art Unit	2171
Confirmation Number	5801
Attorney Docket Number	LOY=2

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PG	CF	US-6,151,884 A	11-21-2000	ALEXANDER et al.	
PG	CG	US-6,182,408 B1	02-20-2001	VAHALIA et al.	
PG	CH	US-6,202,080 B1	03-13-2001	LU et al.	
PG	CI	US-6,249,879 B1	06-19-2001	WALKER et al.	
PG	CJ	US-6,275,953 B1	08-14-2001	VAHALIA et al.	
PG	CK	US-6,363,411 B1	03-26-2002	DUGAN et al.	
PG	CL	US-6,625,639 B1	09-23-2003	MILLER et al.	
PG	CM	US-6,718,372 B1	04-06-2004	BOBER	
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PG	CN	EISLER, M. et al., "NFS File Sets", Connectathon '97, SunSoft, 1997, slides 1-27.	
PG	CO	ROSS, R. et al., "Using the Parallel Virtual File System", Clemson University, January 2001, pp. 1-30.	
PG	CP	"Sun HPC ClusterTools 3.1 Administrator's Guide", Sun Microsystems, November 2000, pp. i - 172.	

Examiner  
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Philip Gump

Date  
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4/19/05

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